

Incorporated May 2008 *City of Weston Lakes* PO Box 1082 \*Weston Lakes, Texas 77441

8045 FM 359 \* Fulshear, Texas 77441 (281) 533-0907

July 2025

Dear Prospective Candidate:

Thank you for your consideration to become a candidate in this year's municipal election. It is an opportunity to offer your services to the citizens of this community.

In an effort to inform you of important election dates and applicable state statutes and local ordinance requirements, the attached packet has been prepared. It contains necessary forms and instructions for filing as a candidate, and I urge you to read the enclosed material prior to filling out the documents.

This packet contains the following information and forms for the November 4, 2025, General Election:

Application for a Place on the Ballot. The loyalty oath is included on this application and must be notarized. A filing fee is not required.

To file for candidacy, you must meet the following qualifications:

- be a United States citizen;
- be 18 years of age or older on the commencement of the term filled at the election;
- not have been determined mentally incompetent by a final judgment of a court;
- not have been finally convicted of a felony from which you have not been pardoned or otherwise released from the resulting disabilities, and;
- have resided continuously in the State of Texas for twelve months and in the City of Weston Lakes for six months immediately preceding the filing deadline.

# **Important Forms and Items**

- Form CTA-Appointment of a Campaign Treasurer by a Candidate. This form should be filed in my office at the same time as the application for place on the ballot. Please note the nepotism law statement must be signed by the candidate. A summary of the nepotism law is in the CTA Instruction Guide.
- Form CTA-Instruction Guide
- <u>Form ACTA-Amendment: Appointment of a Campaign Treasurer by a Candida</u>te. This form is to be used when changing information previously reported on Form CTA and for renewing your choice to report under the modified schedule.
- Form ACTA–Instruction Guide
- <u>Form C/OH-Candidate/Officeholder Campaign Finance Rep</u>ort. This form is to be completed by the candidate or the candidate's treasurer (but signed only by the candidate). Instructions on filing finance reports and the final report are in the C/OH Instruction Guide.
- Form C/OH-Instruction Guide
- <u>Form CIS Local Government Officer Conflicts Disclosure Statement</u>. This form will be filed (if applicable) with the city secretary.
- <u>Form CIQ Conflict of Interest Questionnai</u>re. This form will also be filed (if applicable) with the city secretary.

Filing for a place on the ballot begins July 16, 2025, and ends at 5:00 p.m. on August 18, 2025. Once an application has been filed in my office, it must be carefully reviewed to determine whether it complies with the Election Code requirements. Therefore, please file your application as soon as possible to allow sufficient time for this review.

Please make special note of the next two paragraphs of information.

Title 15 of the Texas Election Code pertains to campaign contributions and expenditures. A copy of Title 15 will be provided at the candidate's request. Financial reports (forms included as referenced above) must be completed by the candidate's campaign treasurer (but signed only by the candidate) and filed in my office. I cannot over emphasize the importance of adhering to the financial reporting procedures. Campaign reports are considered open records and will be viewed as such by reporters and opponents alike.

Any questions you may have regarding reporting procedures, contributions or expenditures are to be directed to the Texas Ethics Commission at (512) 463-5800 or go on-line to <u>www.ethics.state.tx.us</u>. Questions regarding election law are to be directed to the Secretary of State at (800) 252-8683 or go online to <u>www.sos.state.tx.us</u>.

V/R

Erica Molina City Administrator/Secretary of Weston Lakes, Texas

Note: The duties of the city secretary are limited to accepting and filing the various applications, affidavits and statements, and noting the date and time of filing thereon.

# TEXAS MUNICIPAL CLERKS ASSOCIATION, INC.

# Code of Ethics

Recognizing their responsibilities to the people, desiring to inspire public confidence and respect for government, and believing that honesty, integrity, loyalty and courtesy form the basis of ethical conduct, members of the Texas Municipal Clerks Association, Inc., do hereby subscribe to the following principles and ethics which will govern the personal and professional conduct as a municipal clerk:

To uphold constitutional government and the laws of my community;

To conduct my public and private life as to be an example to fellow citizens and co-workers;

To never disclose confidential information gained by reason of my position nor use such information for personal gain;

To be ever mindful of my neutrality and impartiality, rendering equal service to all and to extend the same treatment I wish to receive myself;

To impart to my profession those standards of quality and integrity that the conduct of the affairs of my office shall be above reproach and to merit public confidence in our community;

To record that which is true and preserve that which is entrusted to me as if it were my own; and

To strive constantly to improve the administration of the affairs of my office through independent study, courses, meetings and seminars, and through sound management practices to produce continued progress and so fulfill my responsibilities to my community. 2-49 Prescribed by Secretary of State Section 141.031, Chapters 143 and 144, Texas Election Code 09/2023

#### INSTRUCTIONS

An application for a place on the general election for a city, school district or other political subdivision, may not be filed earlier than 30 days before the deadline prescribed by this code for filing the application. An application filed before that day is void. All fields of the application **must** be completed unless specifically marked optional.

For an election to be held on a uniform election date, the day of the filing deadline is the 78th day before Election Day.

If you have questions about the application, please contact the Secretary of State's Elections Division at 800-252-8683.

#### **NEPOTISM LAW**

The candidate must sign this statement indicating his awareness of the nepotism law. When a candidate signs the application, it is an acknowledgment that the candidate is aware of the nepotism law. The nepotism prohibitions of chapter 573, Government Code, are summarized below:

No officer may appoint, or vote for or confirm the appointment or employment of any person related within the second degree by affinity (marriage) or the third degree by consanguinity (blood) to the officer, or to any other member of the governing body or court on which the officer serves when the compensation of that person is to be paid out of public funds or fees of office. However, nothing in the law prevents the appointment, voting for, or confirmation of anyone who has been continuously employed in the office or employment for the following period prior to the election or appointment of the officer or member related to the employee in the prohibited degree: six months, if the officer or member is elected at an election other than the general election for state and county officers.

No candidate may take action to influence an employee of the office to which the candidate is seeking election or an employee or officer of the governmental body to which the candidate is seeking election regarding the appointment or employment of a person related to the candidate in a prohibited degree as noted above. This prohibition does not apply to a candidate's actions with respect to a bona fide class or category of employees or prospective employees.

#### FOOTNOTES

<sup>1</sup>An application for a place on the ballot, including any accompanying petition, is public information immediately on its filing. (Section 141.035, Texas Election Code)

<sup>2</sup>Inclusion of a candidate's VUID is optional. However, many candidates are required to be registered voters in the territory from which the office is elected at the time of the filing deadline. Please visit the Elections Division of the Secretary of State's website for additional information. https://www.sos.state.tx.us/elections/laws/voter-reg-reg-candidate-faq.shtml

<sup>3</sup>Proof of release from the resulting disabilities of a felony conviction would include proof of judicial clemency under Texas Code of Criminal Procedure 42A.701, proof of executive pardon under Texas Code of Criminal Procedure 48.01, or proof of a restoration of rights under Texas Code of Criminal Procedure 48.05. (Texas Attorney General Opinion KP-0251)

One of the following documents must be submitted with this application.

Judicial Clemency under Texas Code of Criminal Procedure 42A.701

Executive Pardon under Texas Code of Criminal Procedure 48.01

Restoration of Rights under Texas Code of Criminal Procedure 48.05

<sup>4</sup>All oaths, affidavits, or affirmations made within this State may be administered and a certificate of the fact given by a judge, clerk, or commissioner of any court of record, a notary public, a justice of the peace, city secretary (for a city office), and the Secretary of State of Texas. See Chapter 602 of the Texas Government Code for the complete list of persons authorized to administer oaths.

2-49 Prescribed by Secretary of State Section 141.031, Chapters 143 and 144, Texas Election Code 09/2023

# APPLICATION FOR A PLACE ON THE BALLOT FOR A GENERAL ELECTION FOR A CITY, SCHOOL DISTRICT OR OTHER POLITICAL SUBDIVISION

APPLICATION FOR A PLACE ON THE		GENERAL ELECTION BALLOT
O: City Secretary/Secretary of Board	(name of election)	
request that my name be placed on the above-n	amed official ballot as a candidate f	or the office indicated below.
OFFICE SOUGHT (Include any place number or oth	ner distinguishing number, if any.)	INDICATE TERM
FULL NAME (First, Middle, Last)	PRINT NAME	AS YOU WANT IT TO APPEAR ON THE BALLOT*
PERMANENT RESIDENCE ADDRESS (Do not include a P	O Box or Bural Boute If PUBLIC MAIL	ING ADDRESS (Optional) (Address for which you receive

PERMANENT RESIDENCE ADDRESS (Do not you do not have a residence address, describe lo		PUBLIC MAILING ADDRESS (Optional) (Address for which y campaign related correspondence, if available.)				ich you receive		
CITY	STATE	ZIP	CITY			STATE	ZIP	
PUBLIC EMAIL ADDRESS (Optional) (Address	for OCCUP	ATION (Do not lea	ve blank)	DATE OF BIRT	н	VOTER REGI	STRATION VUID	
which you receive campaign related emails, if available						NUMBER <sup>2</sup> (Optional)		
TELEPHONE CONTACT INFORMATION (Op	tional)			/	/			
Home:		fice:			Call			
FELONY CONVICTION STATUS (You MUST			OF CONTIN	UOUS RESIDENC	Cell: E AS OF DATE	THIS APPLICAT	ION WAS SWORN	
I have not been finally convicted of a			THE STATE O				PRECINCT FROM	
I have been finally convicted of a felo	nv. but I have	been				THE OFFICE SOUGHT IS ELECTED		
pardoned or otherwise released from			year(s)			year(s)		
disabilities of that felony conviction a						month(s)		
proof of this fact with the submission				month(s)				
*If using a nickname as part of your name t	o appear on th	ne ballot, you are a	lso signing a	nd swearing to	the following	statements: I	further swear that	
my nickname does not constitute a slogan been commonly known by this nickname for	or contain a l	e vears prior to thi	s election	itical, economic	, social, or rel	Igious view or	affiliation. I have	
Election Code regarding the rules for how r	names may be	listed on the offici	al ballot.	lease leview se	CUONS 52.051	, 52.052 and 5	2.055 Of the rexas	
Before me, the undersigned authority, on t				tate)			who	
being by me here and now duly sworn, upo		iany appeared (nai	ne or canuic	late)			, who	
			. of			Coun	tv. Texas	
"I, (name of candidate) being a candidate for the office of				, swear that I	will support a	nd defend th	e Constitution and	
laws of the United States and of the State of	of Texas. I am	a citizen of the Ur	nited States	eligible to hold	such office un	der the const	itution and laws of	
this state. I have not been determined by	a final judgme	ent of a court exerc	ising probat	te jurisdiction to	be totally m	entally incapa	citated or partially	
mentally incapacitated without the right to	vote. I am av	ware of the nepoti	sm law, Cha	pter 573, Gover	nment Code.	I am aware t	hat I must disclose	
any prior felony conviction, and if so convic	ted, must pro	vide proof that I ha	ave been par	doned or other	wise released	from the resu	Iting disabilities of	
any such final felony conviction. I am aware that knowingly providing false information on the application regarding my possible felony conviction status constitutes a Class B misdemeanor. I further swear that the foregoing statements included in my application are in all things true and correct."								
Χ								
SIGNATURE OF CANDIDATE								
Sworn to and subscribed before me this the	e day d	of		, by	2			
	(day)	(month)		(year)	(na	ame of candid	ate)	
Signature of Officer Authorized to Administ	er Oath <sup>4</sup>		Prin	ted Name of Of	ficer Authorize	ed to Administ	ter Oath	
Signature of Officer Authorized to Administer Oath <sup>4</sup> Printed Name of Officer Authorized to Administer Oath								
				Notarial or C	official Seal			
Title of Officer Authorized to Administer Oa		TIONIS				C A		
TO BE COMPLETED BY FILING OFFICER: THIS APPLICATION IS ACCOMPANIED BY THE REQUIRED FILING FEE (If Applicable) PAID BY:								
This document and S filing fee or a nominating petition of pages received. Uoter Registration Status Verified								
//_/		_ (See Section	1.007)		5 -			
Date Received Date Accept	ed			ignature of Fili	ng Officer or	Designee		

2-49 Prescribed by Secretary of State Section 141.031, Chapters 143 and 144, Texas Election Code 09/2023

#### INSTRUCCIONES

Una solicitud para un lugar en la elección general para una ciudad, distrito escolar u otra subdivisión política, no puede ser presentada antes de los 30 días antes de la fecha límite prescrita por este código para presentar la solicitud. Una solicitud presentada antes de ese día es nula. Todos los campos de la solicitud **deben** completarse a menos que estén específicamente marcados como opcional.

Para una elección que se lleve a cabo en una fecha de elección uniforme, el día de la fecha límite de presentación es el 78 dia antes del día de la elección.

Si tiene preguntas sobre la solicitud, por favor póngase en contacto con la División de Elecciones del Secretario de Estado llamando al 800-252-8683.

#### LEY DE NEPOTISMO

El candidato debe firmar esta declaración indicando su conocimiento de la ley del nepotismo. Cuando un candidato firma la solicitud, es un reconocimiento de que el candidato conoce la ley del nepotismo. Las prohibiciones de nepotismo del capítulo 573, Código de Gobierno, se resumen a continuación:

Ningún funcionario puede nombrar, votar o confirmar el nombramiento o empleo de cualquier persona emparentada dentro del segundo grado por afinidad (matrimonio) o del tercer grado por consanguinidad (sangre) con sí mismo, o con cualquier otro miembro del órgano de gobierno o corte en el que se desempeña cuando la compensación de esa persona debe pagarse con fondos públicos o honorarios del cargo. Sin embargo, nada en la ley impide el nombramiento, la votación o la confirmación de cualquier persona que haya estado empleada continuamente en la oficina o el empleo durante el período siguiente antes de la elección o el nombramiento del funcionario o miembro emparentado con el empleado en el grado prohibido: seis meses, si el funcionario o miembro es elegido en una elección que no sea la elección general para funcionarios estatales y del condado.

Ningún candidato puede tomar medidas para influir en un empleado del cargo al que aspira a ser elegido o en un empleado o funcionario del organismo gubernamental al que aspira a ser elegido en relación con el nombramiento o el empleo de una persona emparentada con el candidato en un grado prohibido, tal como se ha indicado anteriormente. Esta prohibición no se aplica a las acciones de un candidato con respecto a una clase o categoría de buena fe de empleados o empleados prospectos.

#### NOTAS

<sup>1</sup>Una solicitud para un lugar en la boleta electoral, incluida cualquier petición que la acompañe, es información pública inmediatamente después de su presentación. (Sección 141.035, Código Electoral de Texas)

<sup>2</sup>La inclusión del número único de identificación de votante (VUID, por sus siglas en Ingles) es opcional. Sin embargo, a muchos candidatos se les exige que estén registrados como votantes en el territorio desde el cual se elige el cargo en el momento de la fecha límite de presentación. Por favor, visite el sitio web de la Division de Elecciones de la Secretaría de Estado para obtener información adicional. https://www.sos.state.tx.us/elections/laws/voter-reg-reg-candidate-faq.shtml

<sup>3</sup>La prueba de liberación de las discapacidades resultantes de una condena por un delito grave incluiría prueba de clemencia judicial según el Código de Procedimiento Penal de Texas 42A.701, prueba de indulto ejecutivo según el Código de Procedimiento Penal de una restauración de derechos según el Código de Procedimiento Penal de Texas 48.05. (Opinión de Fiscal General de Texas KP-0251)

#### Se debe enviar uno de los siguientes documentos con esta solicitud:

Clemencia judicial según el Código de Procedimiento Penal de Texas 42A.701

Prueba de indulto ejecutivo según el Código de Procedimiento Penal de Texas 48.01

Prueba de una restauración de derechos según el Código de Procedimiento Penal de Texas 48.05

<sup>4</sup>Todos lo los juramentos, declaraciones juradas o afirmaciones hechas dentro de este estado pueden ser administrados y un certificado del hecho dado por un juez, secretario(a) o comisionado de cualquier corte de registro, un notario público, un juez de paz, secretario municipal (para una oficina de la ciudad) y el Secretario de Estado de Texas. Consulte el Capítulo 602 del Código del Gobierno de Texas para obtener la lista completa de personas autorizadas a administrar juramentos.

2-49

Prescribed by Secretary of State Section 141.031, Chapters 143 and 144, Texas Election

Code 09/2023

#### SOLICITUD DE INSCRIPCIÓN PARA UN LUGAR EN LA BOLETA DE UNA ELECCIÓN GENERAL PARA UNA CIUDAD, DISTRITO ESCOLAR U OTRA SUBDIVISIÓN POLÍTICA

	N PARA UN LUGAR EN LA I	BOLETA DE UNA ELEC	CIÓN GENE	RAL DE			
Para: Secretario(a) de la C					nombre	de la elección	i)
Solicito que mi nombre se	incluya en la boleta ofici	al mencionada anter	iormente				
CARGO SOLICITADO (Incluy	a cualquier número de ca	rgo u otro número dis	tintivo, si	INDIQUE TÉRMIN	0		
lo hay.)					OMPLETC		VINO INCOMPLETO
NOMBRE COMPLETO (Primer Nombre, Segundo Nombre, Apellido)				SU NOMBRE COM	O DESEA	QUE APAREZO	A EN LA BOLETA*
DIRECCIÓN DE RESIDENCIA una ruta rural. Si usted no tier de la residencia.)				ÓN DE CORREO PÚ idencia relacionada co			ión en la que recibe la onible.)
CIUDAD	ESTADO	CÓDIGO POSTAL	CIUDAD		ESTAD	0	CÓDIGO POSTAL
DIRECCIÓN DE CORREO ELE		OCUPACIÓN (No de	io este	FECHA DE NACIM	IENTO	VIIID - NIÍM	
(Opcional) (Dirección donde re relacionado con la campaña, si	ecibe correo electrónico	espacio en blanco)				VUID – NÚMERO ÚNICO DE IDENTIFICACIÓN DE VOTANTE <sup>2</sup> (Opcional)	
INFORMACIÓN DE CONTAC	TO TELEFÓNICO (Opciona				alulari	2	
Hogar: ESTADO DE CONDENA POR	DELITO GRAVE (DEBE ma	Trabajo: rcar una)	DURAC	IÓN DE RESIDENCIA C		A PARTIR DE LA UE JURADA	FECHA EN QUE ESTA
_	condenado por un delito	-	EN EL ESTADO DE TEXAS			EN EL TERRITORIO/DISTRITO/PRECINTO DEL CUAL SE ELIGE EL CARGO BUSCADO	
	ndenado por un delito gra e otro modo de las discap			año(s)		año(s)	
indultado o liberado de otro modo de las discapacidades resultantes de esa condena por delito grave y he proporcionado prueba de este hecho con la presentación de esta solicitud. <sup>3</sup>			mes(es)			mes(es)	
no constituye un lema ni con este apodo durante al menos		punto de vista o afilia	ción política	económica social			
a las reglas sobre cómo se pu Ante mí, la autoridad abajo fi	eden incluir los nombres en rmante, en este día apareci	cción. Por favor, revise I la boleta oficial. ó personalmente (nom	as seccione	s 52.031, 52.032 y 5	2.033 del	Código Electora	l de Texas con respecto
a las reglas sobre cómo se pu Ante mí, la autoridad abajo fi a mi lado aquí y ahora debida "Yo, (nombre del candidato)	eden incluir los nombres en rmante, en este día apareci imente juramentado, bajo ji	cción. Por favor, revise I la boleta oficial. ó personalmente (nom uramento dice:	las seccione bre del cano , del cond	s 52.031, 52.032 y 5. didato) lado de	2.033 del	Código Electora	l de Texas con respecto
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# APPOINTMENT OF A CAMPAIGN TREASURER BY A CANDIDATE

# **GENERAL INSTRUCTIONS**

These instructions are for the APPOINTMENT OF A CAMPAIGN TREASURER BY A CANDIDATE (Form CTA). Use Form CTA only for appointing your campaign treasurer. Use the AMENDMENT (Form ACTA) for changing information previously reported on Form CTA<sup>\*</sup> and for renewing your choice to report under the modified schedule. Note: Candidates for most judicial offices use Form JCTA to file a campaign treasurer appointment.

#### **DUTIES OF A CANDIDATE OR OFFICEHOLDER**

As a candidate or officeholder, you alone, not the campaign treasurer, are responsible for filing this form and all candidate/officeholder reports of contributions, expenditures, and loans. Failing to file a report on time or filing an incomplete report may subject you to criminal or civil penalties.

#### **QUALIFICATIONS OF CAMPAIGN TREASURER**

A person is ineligible for appointment as a campaign treasurer if the person is the campaign treasurer of a political committee that has outstanding filing obligations (including outstanding penalties). This prohibition does not apply if the committee in connection with which the ineligibility arose has not accepted more than \$5,000 in political contributions or made more than \$5,000 in political expenditures in any semiannual reporting period. A person who violates this prohibition is liable for a civil penalty not to exceed three times the amount of political contributions accepted or political expenditures made in violation of this provision. Note: A candidate may appoint himself or herself as his or her own campaign treasurer.

#### **DUTIES OF A CAMPAIGN TREASURER**

State law does not impose any obligations on a candidate's campaign treasurer.

#### **REQUIREMENT TO FILE BEFORE BEGINNING A CAMPAIGN**

If you plan to run for a public office in Texas (except for a federal office), you must file this form when you become a candidate even if you do not intend to accept campaign contributions or make campaign expenditures. A "candidate" is a person who knowingly and willingly takes affirmative action for the purpose of gaining nomination or election to public office or for the purpose of satisfying financial obligations incurred by the person in connection with the campaign for nomination or election. Examples of affirmative action include:

- (A) the filing of a campaign treasurer appointment, except that the filing does not constitute candidacy or an announcement of candidacy for purposes of the automatic resignation provisions of Article XVI, Section 65, or Article XI, Section 11, of the Texas Constitution;
- (B) the filing of an application for a place on the ballot;

- (C) the filing of an application for nomination by convention;
- (D) the filing of a declaration of intent to become an independent candidate or a declaration of write-in candidacy;
- (E) the making of a public announcement of a definite intent to run for public office in a particular election, regardless of whether the specific office is mentioned in the announcement;
- (F) before a public announcement of intent, the making of a statement of definite intent to run for public office and the soliciting of support by letter or other mode of communication;
- (G) the soliciting or accepting of a campaign contribution or the making of a campaign expenditure; and
- (H) the seeking of the nomination of an executive committee of a political party to fill a vacancy.

Additionally, the law provides that you must file this form before you may accept a campaign contribution or make or authorize a campaign expenditure, including an expenditure from your personal funds. A filing fee paid to a filing authority to qualify for a place on a ballot is a campaign expenditure that may not be made before filing a campaign treasurer appointment form with the proper filing authority.

If you are an officeholder, you may make officeholder expenditures and accept officeholder contributions without having a campaign treasurer appointment on file. If you do not have a campaign treasurer appointment on file and you wish to accept *campaign* contributions or make *campaign* expenditures in connection with your office or for a different office, you must file this form before doing so. In such a case, a sworn report of contributions, expenditures, and loans will be due no later than the 15th day after filing this form.

#### WHERE TO FILE A CAMPAIGN TREASURER APPOINTMENT

The appropriate filing authority depends on the office sought or held.

- **a.** Texas Ethics Commission. The Texas Ethics Commission (Commission) is the appropriate filing authority for the Secretary of State and for candidates for or holders of the following offices:
  - Governor, Lieutenant Governor, Attorney General, Comptroller, Treasurer, Land Commissioner, Agriculture Commissioner, Railroad Commissioner.
  - State Senator or State Representative.
  - Supreme Court Justice, Court of Criminal Appeals Judge, and Court of Appeals Judge.\*
  - State Board of Education.

- A multi-county district judge\* or multi-county district attorney.
- A single-county district judge.\*
- An office of a political subdivision other than a county if the political subdivision includes areas in more than one county and if the governing body of the political subdivision has not been formed.
- A chair of the state executive committee of a political party with a nominee on the ballot in the most recent gubernatorial election.
- A county chair of a political party with a nominee on the ballot in the most recent gubernatorial election if the county has a population of 350,000 or more.
- \* Judicial candidates use FORM JCTA to appoint a campaign treasurer.
- **b.** County Clerk. The county clerk (or the county elections administrator or tax assessor, as applicable) is the appropriate local filing authority for a candidate for:
  - A county office.
  - A precinct office.
  - A district office (except for multi-county district offices).
  - An office of a political subdivision other than a county if the political subdivision is within the boundaries of a single county and if the governing body of the political subdivision has not been formed.
  - An elected position on the board of directors of an appraisal district.
- **c.** Local Filing Authority. If a candidate is seeking an office of a political subdivision other than a county, the appropriate filing authority is the *clerk or secretary of the governing body* of the political subdivision. If the political subdivision has no clerk or secretary, the appropriate filing authority is the governing body's presiding officer. Basically, any political subdivision that is authorized by the laws of this state to hold an election is considered a local filing authority. Examples are cities, school districts, and municipal utility districts.

#### FILING WITH A DIFFERENT AUTHORITY

If you have a campaign treasurer appointment on file with one authority, and you wish to accept campaign contributions or make or authorize campaign expenditures in connection with another office that would require filing with a different authority, you must file a new campaign treasurer appointment <u>and</u> a copy of your old campaign treasurer appointment (certified by the old authority) with the new filing authority before beginning your campaign. You should also provide written notice to the original filing authority that your future reports will be filed with another authority; use Form CTA-T for this purpose.

#### FORMING A POLITICAL COMMITTEE

As a candidate, you must file an APPOINTMENT OF A CAMPAIGN TREASURER BY A CANDIDATE (FORM CTA). You may also form a specific-purpose committee to support your candidacy. Remember that filing a campaign treasurer appointment for a political committee does not eliminate the requirement that a candidate file his or her own campaign treasurer appointment (FORM CTA) and the related reports.

**NOTE:** See the Campaign Finance Guide for Political Committees for further information about specific-purpose committees.

#### CHANGING A CAMPAIGN TREASURER

If you wish to change your campaign treasurer, simply file an amended campaign treasurer appointment (FORM ACTA). This will automatically terminate the outgoing campaign treasurer appointment.

#### AMENDING A CAMPAIGN TREASURER APPOINTMENT

If *any* of the information reported on the campaign treasurer appointment (FORM CTA) changes, file an AMENDMENT: APPOINTMENT OF A CAMPAIGN TREASURER BY A CANDIDATE (FORM ACTA) to report the change.

#### **REPORTING REQUIREMENT FOR CERTAIN OFFICEHOLDERS**

If you are an officeholder who appoints a campaign treasurer after a period of not having one, you must file a report of contributions, expenditures, and loans no later than the 15th day after your appointment is effective. This requirement is not applicable if you are a candidate or an officeholder who is merely changing campaign treasurers.

#### TERMINATING A CAMPAIGN TREASURER APPOINTMENT

You may terminate your campaign treasurer appointment at any time by:

- 1) filing a campaign treasurer appointment for a successor campaign treasurer, or
- 2) filing a final report.

Remember that you may not accept any campaign contributions or make or authorize any campaign expenditures without a campaign treasurer appointment on file. You may, however, accept officeholder contributions and make or authorize officeholder expenditures.

If your campaign treasurer quits, he or she must give written notice to both you and your filing authority. The termination will be effective on the date you receive the notice or on the date your filing authority receives the notice, whichever is later.

#### FILING A FINAL REPORT

For filing purposes, you are a "candidate" as long as you have an appointment of campaign treasurer on file. If you do not expect to accept any further campaign contributions or to make any further campaign expenditures, you may file a final report of contributions and expenditures. A final report terminates your appointment of campaign treasurer and relieves you of the obligation of filing further reports as a candidate. If you have surplus funds, or if you retain assets purchased with political funds, you will be required to file annual reports. (*See instructions for FORM C/OH - UC.*) If you are an officeholder at the time of filing a final report, you may be required to file semiannual reports of contributions, expenditures, and loans as an officeholder.

If you do not have an appointment of campaign treasurer on file, you may not accept *campaign* contributions or make *campaign* expenditures. A payment on a campaign debt is a campaign expenditure. An officeholder who does not have an appointment of campaign treasurer on file may accept *officeholder* contributions and make *officeholder* expenditures.

To file a final report, you must complete the CANDIDATE/OFFICEHOLDER CAMPAIGN FINANCE REPORT (FORM C/OH), check the "final" box on Page 1, Section 9, and complete and attach the DESIGNATION OF FINAL REPORT (FORM C/OH-FR).

#### **ELECTRONIC FILING**

All persons filing campaign finance reports with the Commission are required to file those reports electronically unless the person is entitled to claim an exemption. Please check the Commission's website at *http://www.ethics.state.tx.us* for information about exemptions from the electronic filing requirements.

#### GUIDES

All candidates should review the applicable Commission's campaign finance guide. Guides are available on the Commission's website at *http://www.ethics.state.tx.us*.

### SPECIFIC INSTRUCTIONS

Each numbered item in these instructions corresponds to the same numbered item on the form.

#### PAGE 1

- 1. TOTAL PAGES FILED: After you have completed the form, enter the total number of pages of this form and any additional pages. A "page" is one side of a two-sided form. If you are not using a two-sided form, a "page" is a single sheet.
- 2. CANDIDATE NAME: Enter your full name, including nicknames and suffixes (e.g., Sr., Jr., III), if applicable. Enter your name in the same way on Page 2, Section 11, of this form.
- 3. CANDIDATE MAILING ADDRESS: Enter your complete mailing address, including zip code. This information will allow your filing authority to correspond with you. We recommend using a PO Box or other address where you receive mail, rather than your home

**Texas Ethics Commission** 

address. This will be public information. If this information changes, please notify your filing authority immediately.

- 4. CANDIDATE PHONE: Enter your phone number, including the area code and extension, if applicable.
- 5. OFFICE HELD: If you are an officeholder, please enter the office you currently hold. Include the district, precinct, or other designation for the office, if applicable.
- 6. OFFICE SOUGHT: If you are a candidate, please enter the office you seek, if known. Include the district, precinct, or other designation for the office, if applicable.
- 7. CAMPAIGN TREASURER NAME: Enter the full name of your campaign treasurer, including nicknames and suffixes (e.g., Sr., Jr., III), if applicable.
- 8. CAMPAIGN TREASURER STREET ADDRESS: Enter the complete street address of your campaign treasurer, including the zip code. You may enter either the treasurer's business or residential street address. If you are your own treasurer, you may enter either your business or residential street address.
- **9.** CAMPAIGN TREASURER PHONE: Enter the phone number of your campaign treasurer, including the area code and extension, if applicable.
- **10. CANDIDATE SIGNATURE**: Enter your signature after reading the summary. Your signature here indicates that you have read the following summary of the nepotism law; that you are aware of your responsibility to file timely reports; and that you are aware of the restrictions on contributions from corporations and labor organizations.
  - The Texas nepotism law (Government Code, chapter 573) imposes certain restrictions on both officeholders and candidates. You should consult the statute in regard to the restrictions applicable to officeholders.
  - A candidate may not take an affirmative action to influence an employee of the office to which the candidate seeks election in regard to the appointment, confirmation, employment or employment conditions of an individual who is related to the candidate within a prohibited degree.
  - A candidate for a multi-member governmental body may not take an affirmative action to influence an officer or employee of the governmental body to which the candidate seeks election in regard to the appointment, confirmation, or employment of an individual related to the candidate in a prohibited degree.
  - Two people are related within a prohibited degree if they are related within the third degree by consanguinity (blood) or the second degree by affinity (marriage). The degree of consanguinity is determined by the number of generations that separate them. If neither is descended from the other, the degree of consanguinity is determined by adding the number of generations that each is separated from a common ancestor. Examples: (1) first degree parent to child; (2) second degree grandparent to grandchild; or brother to sister; (3) third degree great-grandparent

to great-grandchild; or aunt to niece who is child of individual's brother or sister. A husband and wife are related in the first degree by affinity. A wife has the same degree of relationship by affinity to her husband's relatives as her husband has by consanguinity. For example, a wife is related to her husband's grandmother in the second degree by affinity.

#### PAGE 2

- 11. CANDIDATE NAME: Enter your name as you did on Page 1.
- 12. MODIFIED REPORTING DECLARATION: Sign this option if you wish to report under the modified reporting schedule.

The modified reporting option is not available for candidates for the office of state chair of a political party and candidates for county chair of a political party.

To the left of your signature, enter the year of the election or election cycle to which your selection of modified reporting applies.

Your selection of modified reporting is valid for an entire election cycle. For example, if you choose modified reporting before a primary election, your selection remains in effect for any runoff and for the general election and any related runoff. You must make this selection at least 30 days before the first election to which your selection applies.

An opposed candidate in an election is eligible to report under the modified reporting schedule if he or she does not intend to accept more than \$1,110 in political contributions or make more than \$1,110 in political expenditures in connection with an election. The amount of a filing fee paid to qualify for a place on the ballot does not count against the \$1,110 expenditure limit. An opposed candidate who reports under the modified schedule is not required to file pre-election reports (due 30 days and 8 days before an election) or runoff reports (due 8 days before a runoff). (Note: An *unopposed* candidate is not required to file pre-election reports in the first place.) The obligations to file semiannual reports, special pre-election reports (formerly known as telegram reports), or special session reports, if applicable, are not affected by selecting the modified schedule.

The \$1,110 maximums apply to each election within the cycle. In other words, you are limited to \$1,110 in contributions and expenditures in connection with the primary, an additional \$1,110 in contributions and expenditures in connection with the general election, and an additional \$1,110 in contributions and expenditures in connection with a runoff.

**EXCEEDING \$1,110 IN CONTRIBUTIONS OR EXPENDITURES.** If you exceed \$1,110 in contributions or expenditures in connection with an election, you must file according to the regular filing schedule. In other words, you must file pre-election reports and a runoff report, if you are in a runoff.

If you exceed either of the \$1,110 limits *after the 30th day before the election*, you must file a sworn report of contributions and expenditures within 48 hours after exceeding the limit. After that, you must file any pre-election reports or runoff reports that are due under the regular filing schedule.

Your selection is not valid for other elections or election cycles. Use the AMENDMENT (FORM ACTA) to renew your option to file under the modified schedule for a different election year or election cycle.

For more information, see the Commission's campaign finance guide that applies to you.

# APPOINTMENT OF A CAMPAIGN TREASURER BY A CANDIDATE

	See	CTA Instructio	n Guide for detaile	ed instruction	ns.		1 Total pages file	d:
2	CANDIDATE	MS / MRS / MR	FIRST		N	NI	OFFICE	USE ONLY
	NAME						Filer ID #	
		NICKNAME	LAST		S	SUFFIX	Date Received	
3	CANDIDATE MAILING ADDRESS	ADDRESS / PO BOX;	APT / SUITE #;	CITY;	STATE; Z	IP CODE		
							Date Hand-delivered o	or Postmarked
4	CANDIDATE	AREA CODE	PHONE NUMBER		EXTENSION		Receipt#	Amount \$
		( )					Date Processed	
5	OFFICE HELD (if any)				-		Date Imaged	
6	OFFICE SOUGHT (if known)		5					
7	CAMPAIGN TREASURER NAME	MS/MRS/MR	FIRST	MI	NICKNAME		LAST	SUFFIX
8	CAMPAIGN TREASURER STREET ADDRESS	STREET ADDRESS;		APT / SUITE #;	CITY;		STATE;	ZIP CODE
(	residence or business)							
9	CAMPAIGN TREASURER	AREA CODE	PHONE NUMBER	1	EXTENSION			
	PHONE	( )						
10	CANDIDATE SIGNATURE							
		I am aware of my responsibility to file timely reports as required by title 15 of the Election Code.						
		I am aware of the restrictions in title 15 of the Election Code on contributions from corporations and labor organizations.						
					7			
			Signature of Can		Date Signed			
GO TO PAGE 2								

PG 1

FORM CTA

	E MODIFIED FORM CT G DECLARATION PG	
11 CANDIDATE NAME		
12 MODIFIED REPORTING DECLARATION	COMPLETE THIS SECTION ONLY IF YOU ARE CHOOSING MODIFIED REPORTING	
	•• This declaration must be filed no later than the 30th day before the first election to which the declaration applies. ••	
	•• The modified reporting option is valid for one election cycle only. •• (An election cycle includes a primary election, a general election, and any related runoffs.)	
	• Candidates for the office of state chair of a political party may NOT choose modified reporting. ••	
	I do not intend to accept more than \$1,110 in political contributions or make more than \$1,110 in political expenditures (excluding filing fees) in connection with any future election within the election cycle. I understand that if either one of those limits is exceeded, I will be required to file pre-election reports and, if necessary, a runoff report.	
r.		
	Year of election(s) or election cycle to Signature of Candidate which declaration applies	
т	his appointment is effective on the date it is filed with the appropriate filing authority.	
TEC	Filers may send this form to the TEC electronically at <u>treasappoint@ethics.state.tx.us</u> or mail to Texas Ethics Commission P.O. Box 12070 Austin, TX 78711-2070	
	Non-TEC Filers must file this form with the local filing authority DO NOT SEND TO TEC	
	For more information about where to file go to: https://www.ethics.state.tx.us/filinginfo/QuickFileAReport.php	

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